

THE CLEANNES OF (SYMBOLIC) POWERS  
OF STANLEY-REISNER IDEALS

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*Abstract.* Let  $\Delta$  be a pure simplicial complex on the vertex set  $[n] = \{1, \dots, n\}$  and  $I_\Delta$  its Stanley-Reisner ideal in the polynomial ring  $S = K[x_1, \dots, x_n]$ . We show that  $\Delta$  is a matroid (complete intersection) if and only if  $S/I_\Delta^{(m)}$  ( $S/I_\Delta^m$ ) is clean for all  $m \in \mathbb{N}$  and this is equivalent to saying that  $S/I_\Delta^{(m)}$  ( $S/I_\Delta^m$ , respectively) is Cohen-Macaulay for all  $m \in \mathbb{N}$ . By this result, we show that there exists a monomial ideal  $I$  with (pretty) cleanness property while  $S/I^m$  or  $S/I^{(m)}$  is not (pretty) clean for all integer  $m \geq 3$ . If  $\dim(\Delta) = 1$ , we also prove that  $S/I_\Delta^{(2)}$  ( $S/I_\Delta^2$ ) is clean if and only if  $S/I_\Delta^{(2)}$  ( $S/I_\Delta^2$ , respectively) is Cohen-Macaulay.

*Keywords:* clean; Cohen-Macaulay simplicial complex; complete intersection; matroid; symbolic power

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INTRODUCTION

Let  $\Delta$  be a simplicial complex on the vertex set  $[n] = \{1, \dots, n\}$  and  $S = k[x_1, \dots, x_n]$  be the polynomial ring in  $n$  indeterminates over a field  $k$ . The Stanley-Reisner ideal of  $\Delta$ ,  $I_\Delta$ , is defined by  $I_\Delta := \left( \prod_{i \in F} x_i : F \notin \Delta \right)$ .

There is a bijection between squarefree monomial ideals  $I$  and simplicial complexes. Cohen-Macaulayness (Buchsbaumness, cleanness, generalized Cohen-Macaulayness) of these ideals have been studied by several authors (see [4], [10], [8], [13], [15], [16], [18]). Minh and Trung in [13] and Varbaro in [17] independently proved that  $\Delta$  is a matroid if and only if  $S/I_\Delta^{(m)}$  is Cohen-Macaulay for all  $m \in \mathbb{N}$ , where  $I_\Delta^{(m)}$

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denotes the  $m$ th-symbolic power of  $I_\Delta$ . Later on, Terai and Trung in [16] showed that  $\Delta$  is a matroid if and only if  $S/I_\Delta^{(m)}$  is Cohen-Macaulay for some integer  $m \geq 3$ . The similar characterizations of being Buchsbaum and generalized Cohen-Macaulay were also studied by them. Minh and Trung in [12] proved that for a simplicial complex  $\Delta$  with  $\dim(\Delta) = 1$ ,  $I_\Delta^{(2)}$  is Cohen-Macaulay if and only if  $\text{diam}(\Delta) \leq 2$ , where  $\text{diam}(\Delta)$  denotes the diameter of  $\Delta$ . We pursue this line of research further.

This paper is organized as follows: in Section 1, we collect some preliminaries which will be needed later. In Section 2, we show that if  $\Delta$  is a matroid, then  $S/I_\Delta^{(m)}$  is clean for all  $m \in \mathbb{N}$ ; see Theorem 2.1. Since  $I_\Delta$  is unmixed, in particular, this shows that  $S/I_\Delta^{(m)}$  is Cohen-Macaulay for all  $m \in \mathbb{N}$ . Therefore this result covers one direction of the result of Minh and Trung in [13] and Varbaro in [17]. Our proof is combinatorial and more elementary than that given in [13]. As our first corollary, by using [16], Theorem 3.6, we show that if  $\Delta$  is pure and  $I = I_\Delta \subset S$ , then the following conditions are equivalent:

- (a)  $\Delta$  is a matroid.
- (b)  $S/I^{(m)}$  is clean for all integers  $m > 0$ .
- (c)  $S/I^{(m)}$  is clean for some integer  $m \geq 3$ .
- (d)  $S/I^{(m)}$  is Cohen-Macaulay for some integer  $m \geq 3$ .
- (e)  $S/I^{(m)}$  is Cohen-Macaulay for all integers  $m > 0$ .

Our second corollary asserts that a pure simplicial complex  $\Delta$  is a complete intersection if and only if  $S/I_\Delta^m$  is clean for all  $m \in \mathbb{N}$  and if and only if  $S/I_\Delta^m$  is clean for some integer  $m \geq 3$ .

Let  $I \subset S$  be a monomial ideal such that  $S/I$  is (pretty) clean. It is natural to ask whether  $S/I^m$  or  $S/I^{(m)}$  is again (pretty) clean for all  $m \in \mathbb{N}$ ? Example 2.5 shows that the answer is negative in general.

In Section 3, we show that if  $I \subset S$  is the Stanley-Reisner ideal of a pure simplicial complex  $\Delta$  with  $\dim \Delta = 1$ , then for an integer  $m > 1$ ,  $S/I^{(m)}$  ( $S/I^m$ ) is clean if and only if  $S/I^{(m)}$  ( $S/I^m$ , respectively) is Cohen-Macaulay.

## 1. PRELIMINARY

A simplicial complex  $\Delta$  on the vertex set  $[n] = \{1, \dots, n\}$  is a collection of subsets of  $[n]$  with the property that if  $F \subset G$  and  $G \in \Delta$ , then  $F \in \Delta$ . An element of  $\Delta$  is called a *face*, and the maximal faces of  $\Delta$ , under inclusion, are called *facets*. We denote by  $\mathcal{F}(\Delta)$  the set of facets of  $\Delta$ . When  $\mathcal{F}(\Delta) = \{F_1, \dots, F_t\}$ , we write  $\Delta = \langle F_1, \dots, F_t \rangle$ . For each  $F \in \Delta$ , we set  $\dim F := |F| - 1$ , and

$$\dim \Delta := \max\{\dim F : F \in \mathcal{F}(\Delta)\},$$

which is called the dimension of  $\Delta$ . A simplicial complex  $\Delta$  is called *pure* if all facets of  $\Delta$  have the same dimension. According to Björner and Wachs in [3], a simplicial complex  $\Delta$  is said to be (*non-pure*) *shellable* if there exists an order  $F_1, \dots, F_t$  of the facets of  $\Delta$  such that for each  $2 \leq i \leq t$ ,  $\langle F_1, \dots, F_{i-1} \rangle \cap \langle F_i \rangle$  is a pure  $(\dim F_i - 1)$ -dimensional simplicial complex. Such an ordering of facets is called a *shelling*.

Let  $S = K[x_1, \dots, x_n]$  be a polynomial ring in  $n$  indeterminates over a field  $K$ . The Stanley-Reisner ideal of  $\Delta$  is denoted by  $I_\Delta$  and defined as  $I_\Delta := \left( \prod_{i \in F} x_i : F \notin \Delta \right)$ .

The facet ideal of  $\Delta$  is defined as  $I(\Delta) := \left( \prod_{i \in F} x_i : F \in \mathcal{F}(\Delta) \right)$ .

The *Alexander dual* of  $\Delta$  is given by  $\Delta^\vee := \{F^c : F \notin \Delta\}$ . Let  $I$  be a squarefree monomial ideal in  $S$ . We denote by  $I^\vee$  the squarefree monomial ideal which is minimally generated by all monomials  $x_{i_1} \dots x_{i_k}$ , where  $(x_{i_1}, \dots, x_{i_k})$  is a minimal prime ideal of  $I$ . It is easy to see that for any simplicial complex  $\Delta$ , one has  $I_{\Delta^\vee} = (I_\Delta)^\vee$ . The complement of a face  $F$  is  $[n] \setminus F$  and it is denoted by  $F^c$ . Also, the complement of a simplicial complex  $\Delta = \langle F_1, \dots, F_r \rangle$  is  $\Delta^c := \langle F_1^c, \dots, F_r^c \rangle$ . It is known that for a simplicial complex  $\Delta$  one has  $I_{\Delta^\vee} = I(\Delta^c)$ .

**Definition 1.1.** A *matroid*  $\Delta$  is a simplicial complex with the property that for all faces  $F$  and  $G$  in  $\Delta$  with  $|F| < |G|$ , there exists  $i \in G \setminus F$  such that  $F \cup \{i\} \in \Delta$ .

The above definition implies that each matroid is pure. As a consequence of [7], Theorem 12.2.4, a matroid can be characterized by the following exchange property: a pure simplicial complex  $\Delta$  is a matroid if and only if for any two facets  $F$  and  $G$  of  $\Delta$  with  $F \neq G$ , and for any  $i \in F \setminus G$ , there exists  $j \in G \setminus F$  such that  $(F \setminus \{i\}) \cup \{j\} \in \Delta$ . A squarefree monomial ideal  $I$  in  $S$  is called *matroidal* if  $I = I(\Delta)$ , where  $\Delta$  is a matroid. On the other hand, by [14], Theorem 2.1.1,  $\Delta$  is a matroid if and only if  $\Delta^c$  is a matroid. Altogether, as  $I(\Delta^c) = I_{\Delta^\vee}$ , we have that  $\Delta$  is a matroid if and only if  $I_{\Delta^\vee}$  is matroidal.

A simplicial complex  $\Delta$  is called a *complete intersection* if  $I_\Delta$  is a complete intersection monomial ideal. It is well known that each complete intersection simplicial complex is a matroid.

If  $F \subseteq [n]$ , then we put  $P_F := (x_i : i \in F)$ . We have  $I_\Delta = \bigcap_{F \in \mathcal{F}(\Delta^c)} P_F$ , hence for each  $m \in \mathbb{N}$ , the  $m$ th-symbolic power of  $I_\Delta$  is the ideal

$$I_\Delta^{(m)} = \bigcap_{F \in \mathcal{F}(\Delta^c)} P_F^m.$$

An ideal  $I \subset S$  is called *normally torsionfree* if  $\text{Ass}(S/I^m) \subseteq \text{Ass}(S/I)$  for all  $m \in \mathbb{N}$ . If  $I$  is a squarefree monomial ideal, then  $I$  is normally torsionfree if and only if  $I^{(m)} = I^m$  for all  $m$ ; see [7], Theorem 1.4.6.

Let  $I \subset S$  be a monomial ideal. A chain of monomial ideals

$$\mathcal{F}: I = I_0 \subset I_1 \subset \dots \subset I_r = S$$

is called a *prime filtration* of  $S/I$  if for each  $i = 1, \dots, r$  there exists a monomial prime ideal  $\mathfrak{p}_i$  of  $S$  such that  $I_i/I_{i-1} \cong S/\mathfrak{p}_i$ . The set of prime ideals  $\mathfrak{p}_1, \dots, \mathfrak{p}_r$  which define the cyclic quotients of  $\mathcal{F}$  will be denoted by  $\text{Supp } \mathcal{F}$ . It is known (and easy to see) that

$$\text{Ass } S/I \subseteq \text{Supp } \mathcal{F} \subseteq \text{Supp } S/I.$$

Let  $\text{Min } I$  denote the set of minimal prime ideals of  $\text{Supp } S/I$ . Dress in [5] called a prime filtration  $\mathcal{F}$  of  $S/I$  *clean* if  $\text{Supp } \mathcal{F} = \text{Min } I$  and in [5], Theorem on page 53, proved that a simplicial complex  $\Delta$  is (non-pure) shellable if and only if  $K[\Delta]$  is a clean ring. Pretty clean filtrations were defined as a generalization of clean filtrations by Herzog and Popescu in [8]. A prime filtration  $\mathcal{F}$  is called *pretty clean* if for all  $i < j$  for which  $\mathfrak{p}_i \subseteq \mathfrak{p}_j$ , it follows that  $\mathfrak{p}_i = \mathfrak{p}_j$ . If  $\mathcal{F}$  is a pretty clean filtration of  $S/I$ , then  $\text{Supp } \mathcal{F} = \text{Ass } S/I$ ; see [8], Corollary 3.4.  $S/I$  is called *clean (pretty clean)* if it admits a clean (pretty clean) filtration. Obviously, cleanness implies pretty cleanness.

Let  $I \subset S$  be a monomial ideal. Then  $S/I$  is *sequentially Cohen-Macaulay* if there exist a chain of monomial ideals

$$I = I_0 \subset I_1 \subset I_2 \subset \dots \subset I_r = S$$

such that each quotient  $I_i/I_{i-1}$  is Cohen-Macaulay and

$$\dim(I_1/I_0) < \dim(I_2/I_1) < \dots < \dim(I_r/I_{r-1}).$$

Clearly, if  $S/I$  is Cohen-Macaulay, then it is sequentially Cohen-Macaulay. Also, if  $S/I$  is pretty clean, then by [8] it is sequentially Cohen-Macaulay.

The monomial ideal  $I$  has *linear quotients* if one can order the set of minimal monomial generators of  $I$ ,  $G(I) = \{u_1, \dots, u_m\}$ , so that the colon ideal  $(u_1, \dots, u_{i-1}) : u_i$  is generated by a subset of the variables for all  $i = 2, \dots, m$ . This means for each  $j < i$  there exists a  $k < i$  such that  $u_k : u_i = x_t$  and  $x_t \mid u_j : u_i$ , where  $t \in [n]$  and  $u_k : u_i = u_k / \gcd(u_k, u_i)$ . In the case  $I$  is squarefree, it is enough to show that for each  $j < i$  there exists a  $k < i$  such that  $u_k : u_i = x_t$  and  $x_t \mid u_j$  for some  $t \in [n]$ .

Let  $u = \prod_{i=1}^n x_i^{a_i}$  be a monomial in  $S$ . Then

$$u^p := \prod_{i=1}^n \prod_{j=1}^{a_i} x_{i,j} \in K[x_{1,1}, \dots, x_{1,a_1}, \dots, x_{n,1}, \dots, x_{n,a_n}]$$

is called the *polarization* of  $u$ . Let  $I$  be a monomial ideal of  $S$  with the unique set of minimal monomial generators  $G(I) = \{u_1, \dots, u_m\}$ . Then the ideal  $I^p := (u_1^p, \dots, u_m^p)$  of

$$T := K[x_{i,j} : i = 1, \dots, n, j = 1, \dots, a_i]$$

is called the *polarization* of  $I$ .

## 2. MATROIDS AND COMPLETE INTERSECTION SIMPLICIAL COMPLEXES

We will characterize matroids (complete intersection simplicial complexes)  $\Delta$  in terms of the cleanness of the symbolic (ordinary) powers of  $I_\Delta$ .

**Theorem 2.1.** *Let  $I \subset S$  be the Stanley-Reisner ideal of a matroid  $\Delta$ . Then  $S/I^{(m)}$  is clean for all  $m \in \mathbb{N}$ .*

*Proof.* Let  $I = I_\Delta = \bigcap_{i=1}^t P_{F_i}$  be the irredundant irreducible primary decomposition of  $I$ , where  $\Delta^c = \langle F_1, \dots, F_t \rangle$  and  $r = |F_i|$  for all  $i = 1, \dots, t$ . Then  $I^{(m)} = \bigcap_{i=1}^t P_{F_i}^m$ . By [11], Theorem 3.10, it is enough to show that  $T/(I^{(m)})^p$  is clean.

One can see by [6], Proposition 2.3 (3), that  $((I^{(m)})^p)^\vee = \sum_{i=1}^r ((P_{F_i}^m)^p)^\vee$ . If  $F_i = \{s_1, \dots, s_r\}$ , then by [6], Proposition 2.5 (2),  $(P_{F_i}^m)^p$  has the irredundant irreducible primary decomposition

$$(P_{F_i}^m)^p = \bigcap_{\substack{1 \leq t_j \leq m \\ \sum t_j \leq m+r-1}} (x_{s_1, t_1}, \dots, x_{s_r, t_r}).$$

It follows that the ideal  $J := ((I^{(m)})^p)^\vee$  is generated by the monomials

$$x_{i_1, a_1} x_{i_2, a_2} \dots x_{i_r, a_r} \quad \text{with } \{i_1, \dots, i_r\} \in \mathcal{F}(\Delta^c),$$

where  $a_j$  are positive integers satisfying  $1 \leq a_j \leq m$  and  $\sum_{j=1}^r a_j \leq m + r - 1$ . For showing that  $T/(I^{(m)})^p$  is clean, it is enough to show that  $J$  has linear quotients; see for example [2], Lemma 2.1.

Now, we order the variables in  $T$  as follows:

$x_{i,a} > x_{j,b} \Leftrightarrow (i, a) < (j, b)$ , and  $(i, a) < (j, b)$  if  $a < b$ , or  $a = b$  and  $i < j$ . Then we show that  $J$  has linear quotients with respect to the reverse lexicographical order of its generators induced from the above ordering. Indeed, let  $u = x_{i_1, a_1} x_{i_2, a_2} \dots x_{i_r, a_r}$  and  $v = x_{j_1, b_1} x_{j_2, b_2} \dots x_{j_r, b_r}$  be two monomials in  $G(J)$  with  $u > v$ . We have to show that there exists  $w \in G(J)$  with  $w > v$  such that  $w : v = x_{i_l, a_l}$  and  $x_{i_l, a_l} \mid u$ .

Since  $u > v$ , there exists an integer  $t$  such that  $x_{i_t, a_t} > x_{j_t, b_t}$  and  $x_{i_k, a_k} = x_{j_k, b_k}$  for all  $k > t$ . In particular, we have  $a_t < b_t$ , or  $a_t = b_t$  and  $i_t < j_t$ . We first claim that there exists  $1 \leq l \leq t$  such that

$$x_{j_1} \cdots x_{j_{t-1}} x_{i_l} x_{j_{t+1}} \cdots x_{j_r} \in G(I_{\Delta^\vee}) = G(I(\Delta^c)).$$

This is obvious, if  $x_{j_t} \mid x_{i_1} x_{i_2} \cdots x_{i_t}$ , and if  $x_{j_t} \nmid x_{i_1} x_{i_2} \cdots x_{i_t}$ , then, as  $I^\vee$  is matroidal, it follows that there exists  $1 \leq l \leq t$  such that  $x_{j_1} \cdots x_{j_{t-1}} x_{i_l} x_{j_{t+1}} \cdots x_{j_r} \in G(I^\vee)$ . Here, we used the fact that  $i_k = j_k$  for  $k = t + 1, \dots, r$ . Then

$$w := x_{j_1, b_1} x_{j_2, b_2} \cdots x_{j_{t-1}, b_{t-1}} x_{i_l, a_l} x_{j_{t+1}, b_{t+1}} \cdots x_{j_r, b_r} \in G(J),$$

because  $a_l \leq b_t$ . Moreover, we have  $w : v = x_{i_l, a_l}$  and  $x_{i_l, a_l} \mid u$ .

Next, we will show that  $w > v$ . If  $x_{i_l, a_l} > x_{j_{t-1}, b_{t-1}}$ , then  $w > v$  because  $x_{j_{t-1}, b_{t-1}} > x_{j_t, b_t}$ . Otherwise, one has  $x_{i_l, a_l} < x_{j_{t-1}, b_{t-1}}$ . We know that  $a_t < b_t$ , or  $a_t = b_t$  and  $i_t < j_t$ . Since  $a_l \leq a_t$ , if  $a_t < b_t$ , then  $w > v$ . Now, assume that  $a_t = b_t$  and  $i_t < j_t$ . Since  $a_l < a_t$  or  $a_l = a_t$ , and  $i_l < i_t < j_t$ , one has  $x_{i_l, a_l} > x_{j_t, b_t}$  and  $w > v$ .  $\square$

We shall use the following lemma.

**Lemma 2.2.** *Let  $I \subset S$  be a monomial ideal. Then  $S/I$  is Cohen-Macaulay if and only if  $S/I$  is sequentially Cohen-Macaulay and  $I$  is unmixed.*

*Proof.* If  $S/I$  is Cohen-Macaulay, then it is obvious that  $S/I$  is sequentially Cohen-Macaulay and  $I$  is unmixed. Conversely, assume that  $S/I$  is sequentially Cohen-Macaulay and  $I$  is unmixed. Then there exists a chain of monomial ideals

$$I = I_0 \subset I_1 \subset I_2 \subset \cdots \subset I_r = S$$

such that each quotient  $I_i/I_{i-1}$  is Cohen-Macaulay and

$$\dim(I_1/I_0) < \dim(I_2/I_1) < \cdots < \dim(I_r/I_{r-1}).$$

By [9], Lemma 1.2,  $\text{depth}(S/I) = \dim(I_1/I_0)$ . On the other hand, by [8], Proposition 2.5,  $\text{Ass}(S/I) = \bigcup_{i=1}^r \text{Ass}(I_i/I_{i-1})$ . Since  $I$  is unmixed, it follows that  $\dim(S/I) = \dim(I_i/I_{i-1})$  for all  $i$ . Hence  $\text{depth}(S/I) = \dim(I_1/I_0) = \dim(S/I)$ , and so  $S/I$  is Cohen-Macaulay.  $\square$

If we combine our results with [16], Theorem 3.6, we get the following characterization of matroids.

**Corollary 2.3.** *Let  $\Delta$  be a pure simplicial complex and  $I = I_\Delta \subset S$ . Then the following conditions are equivalent:*

- (a)  $\Delta$  is a matroid.
- (b)  $S/I^{(m)}$  is clean for all integers  $m > 0$ .
- (c)  $S/I^{(m)}$  is clean for some integer  $m \geq 3$ .
- (d)  $S/I^{(m)}$  is Cohen-Macaulay for some integer  $m \geq 3$ .
- (e)  $S/I^{(m)}$  is Cohen-Macaulay for all integers  $m > 0$ .

*Proof.* In view of Theorem 2.1, (a)  $\Rightarrow$  (b) holds. The implications (a)  $\Leftrightarrow$  (d)  $\Leftrightarrow$  (e) follow from [16], Theorem 3.6. The implication (b)  $\Rightarrow$  (c) is trivial.

(c)  $\Rightarrow$  (d) Suppose that for an integer  $m \geq 3$ ,  $S/I^{(m)}$  is clean. Then by [8], Corollary 4.3,  $S/I^{(m)}$  is sequentially Cohen-Macaulay. On the other hand,  $I^{(m)}$  is an unmixed monomial ideal for all  $m$ , because  $I$  is unmixed and  $\text{Ass}(S/I^{(m)}) = \text{Ass}(S/I)$ . Hence by Lemma 2.2,  $S/I^{(m)}$  is Cohen-Macaulay.  $\square$

It is known [1] that a simplicial complex  $\Delta$  is a complete intersection if and only if  $S/I_\Delta^m$  is Cohen-Macaulay for all  $m \in \mathbb{N}$ . Since for a complete intersection monomial ideal  $I_\Delta$  the symbolic powers coincide with its ordinary powers, we have:

**Corollary 2.4.** *Let  $\Delta$  be a pure simplicial complex and  $I = I_\Delta \subset S$ . Then the following conditions are equivalent:*

- (a)  $\Delta$  is a complete intersection.
- (b)  $S/I^m$  is clean for all integers  $m > 0$ .
- (c)  $S/I^m$  is clean for some integer  $m \geq 3$ .
- (d)  $S/I^m$  is Cohen-Macaulay for some integer  $m \geq 3$ .
- (e)  $S/I^m$  is Cohen-Macaulay for all integers  $m > 0$ .

*Proof.* The equivalences (a)  $\Leftrightarrow$  (d)  $\Leftrightarrow$  (e) follow from [16], Theorem 4.3. The implication (b)  $\Rightarrow$  (c) is obvious. The proof of (c)  $\Rightarrow$  (d) is similar to that of the same case in Corollary 2.3. Note that, as  $S/I^m$  is clean for some integer  $m \geq 3$ , it follows that

$$\text{Ass}(S/I^m) = \text{Min}(I^m) = \text{Min}(I) = \text{Ass}(S/I).$$

It remains to show (a)  $\Rightarrow$  (b). Since  $I$  is complete intersection, for any  $m > 0$ , one has  $\text{Ass}(S/I^m) = \text{Min}(I^m) = \text{Min}(I)$ . Hence by the definition of symbolic powers (see [18], Definition 3.3.22),  $I^m = I^{(m)}$  for all  $m > 0$ . Since any complete intersection complex is a matroid, therefore by Theorem 2.1,  $S/I^m$  is clean for all  $m > 0$ .  $\square$

**Example 2.5.** Let  $I := (x_1x_2, x_2x_3, x_3x_4)$ . Obviously,  $I$  is an unmixed square-free monomial ideal. Since  $|G(I)| \leq 3$ , it follows by [2], Corollary 2.6, that  $S/I$  is clean. On the other hand,  $I^\vee = (x_1x_3, x_2x_3, x_2x_4)$  is not matroidal. Hence,  $I$  is not the Stanley-Reisner ideal of a matroid. So by Corollary 2.3,  $S/I^{(m)}$  is not clean for all integers  $m \geq 3$ . Also,  $S/I$  is not complete intersection, so by Corollary 2.4  $S/I^m$  is not clean for all integers  $m \geq 3$ . Now, consider the ideal  $I$  as the edge ideal of a graph  $G$ . Obviously,  $G$  is a bipartite graph, so by [7], Corollary 10.3.17,  $I$  is normally torsionfree. Therefore for any  $m$ ,

$$\text{Ass}(S/I^m) = \text{Ass}(S/I) = \text{Min}(I) = \text{Min}(I^m).$$

It follows by [8], Corollary 3.5, that  $S/I^m$  is not pretty clean for all integers  $m \geq 3$ .

We note that the above example shows that, if  $I \subset S$  is a pretty clean monomial ideal, then necessarily  $S/I^{(m)}$  cannot be pretty clean for all integers  $m > 0$ .

### 3. SECOND SYMBOLIC POWER AND CLEANNESS

Let  $\Delta$  be a 1-dimensional simplicial complex and  $I = I_\Delta \subset S$ . Minh and Trung in [12] studied under which conditions  $S/I^{(2)}$  and  $S/I^2$  are Cohen-Macaulay. In this section we will give a characterization for the Cohen-Macaulayness of  $S/I^{(2)}$  and  $S/I^2$  in terms of the cleanness property.

Let  $G = (V, E)$  be a simple graph. In graph theory, the distance between two vertices  $u$  and  $v$  of  $G$  is the minimal length of paths from  $u$  to  $v$  and is denoted by  $d(u, v)$ . This length is infinite if there is no path connecting them. The diameter of  $G$ ,  $\text{diam}(G)$ , is defined by  $\text{diam}(G) := \max\{d(u, v) : u, v \in V\}$ .

**Theorem 3.1.** *Let  $\Delta$  be a pure simplicial complex on  $[n]$  with  $\dim \Delta = 1$  and  $I = I_\Delta \subset S$ . Then the following conditions are equivalent:*

- (a)  $S/I^{(2)}$  is clean.
- (b)  $S/I^{(2)}$  is Cohen-Macaulay.
- (c)  $\text{diam} \Delta \leq 2$ .

*Proof.* (a)  $\Rightarrow$  (b) Since  $S/I^{(2)}$  is sequentially Cohen-Macaulay and  $I^{(2)}$  is unmixed, the desired conclusion follows from Lemma 2.2.

(b)  $\Rightarrow$  (c) follows from [12], Theorem 2.3.

(c)  $\Rightarrow$  (a) By [11], Theorem 3.10, it is enough to show that  $S/(I^{(2)})^p$  is clean. Let  $I = I_\Delta = \bigcap_{i=1}^t P_{F_i}$  be a primary decomposition of  $I$ . Then  $\Delta^c = \langle F_1, \dots, F_t \rangle$  with



$|F_i| = n - 2$  for all  $i = 1, \dots, t$ . We know that

$$(I^{(2)})^p = \bigcap_{i=1}^t (P_{F_i}^2)^p.$$

If  $F \subset [n]$ , then by [6], Proposition 2.5 (2),

$$(P_F^2)^p = \bigcap_{1 \leq j \leq n-2} P_{(F, 2_j)} \cap P_{(F, 1)},$$

where if  $F = \{r_1, \dots, r_{n-2}\}$  with  $r_1 < r_2 < \dots < r_{n-2}$ , then we set  $(F, 1) := \{(r_i, 1) : r_i \in F\}$  and  $(F, 2_j) := \{(r_j, 2)\} \cup \{(r_i, 1) : 1 \leq i \leq n-2, i \neq j\}$ . Note that  $(I^{(2)})^p$  is a monomial ideal in a polynomial ring  $T = K[x_{(1,1)}, \dots, x_{(n,1)}, x_{(1,2)}, \dots, x_{(n,2)}]$ . Since  $(I^{(2)})^p$  is the Stanley-Reisner ideal of the simplicial complex

$$\Gamma = \langle (F_i, 1)^c, (F_i, 2_j)^c : 1 \leq i \leq t, 1 \leq j \leq n-2 \rangle,$$

by a result of Dress in [5] it is enough to prove that  $\Gamma$  is shellable.

We set  $A_0 := \emptyset$  and  $A_i := \left\{ F_j^c \in \mathcal{F}(\Delta) : i \in F_j^c \text{ and } F_j^c \notin \bigcup_{s=1}^{i-1} A_s \right\}$  for all  $i = 1, \dots, n$ . Note that  $\mathcal{F}(\Delta) = \bigcup_{i=1}^n A_i$ . We order the facets of  $\Gamma$  by the following process and show that the given order is a shelling order. For the convenience we can assume that  $A_1 = \{F_{s_1}^c, \dots, F_{s_1}^c\}$  for some  $1 \leq s_1 \leq t$ . Let the initial part of our order be

$$(*) \quad (F_1, 1)^c, (F_1, 2_1)^c, \dots, (F_1, 2_{n-2})^c, (F_2, 1)^c, (F_2, 2_1)^c, \dots, (F_2, 2_{n-2})^c, \dots, \\ (F_{s_1}, 1)^c, (F_{s_1}, 2_1)^c, \dots, (F_{s_1}, 2_{n-2})^c.$$

Then the following inequalities hold:

$$\begin{aligned} n &= |(F_1, 1)^c \cap (F_1, 2_j)^c| - 1 = \dim(\langle (F_1, 1)^c \rangle \cap \langle (F_1, 2_j)^c \rangle) \\ &\leq \dim(\langle (F_1, 1)^c, (F_1, 2_1)^c, \dots, (F_1, 2_{j-1})^c \rangle \cap \langle (F_1, 2_j)^c \rangle) \\ &\leq \dim \langle (F_1, 2_j)^c \rangle - 1 = |(F_1, 2_j)^c| - 2 = n. \end{aligned}$$

Now, let  $2 \leq d \leq s_1$ . Then

$$\begin{aligned} n &= \dim(\langle (F_1, 1)^c \rangle \cap \langle (F_d, 1)^c \rangle) \\ &\leq \dim(\langle (F_1, 1)^c, (F_1, 2_1)^c, \dots, (F_{d-1}, 2_{n-2})^c \rangle \cap \langle (F_d, 1)^c \rangle) \\ &\leq \dim \langle (F_d, 1)^c \rangle - 1 = n. \end{aligned}$$

Also, for any  $1 \leq j \leq n - 2$ , we have

$$\begin{aligned} n &= \dim(\langle (F_d, 1)^c \rangle \cap \langle (F_d, 2_j)^c \rangle) \\ &\leq \dim(\langle (F_1, 1)^c, (F_1, 2_1)^c, \dots, (F_d, 1)^c, \dots, (F_d, 2_{j-1})^c \rangle \cap \langle (F_d, 2_j)^c \rangle) \\ &\leq \dim\langle (F_d, 2_j)^c \rangle - 1 = n. \end{aligned}$$

Suppose that  $\Gamma_1$  is a simplicial complex whose facets are all of the sets belonging to  $(*)$ . If we rename the facets of  $\Gamma_1$  in the same order as above by  $G_1, \dots, G_{s_1(n-1)}$ , then it is easy to see that  $\langle G_1, \dots, G_{i-1} \rangle \cap \langle G_i \rangle$  is a pure simplicial complex for all  $i = 1, \dots, s_1(n-1)$ . Therefore,  $\Gamma_1$  is shellable.

Assume that  $A_i = \{F_{s_{i-1}+1}^c, \dots, F_{s_i}^c\}$  for  $1 \leq i \leq h-1 < n$ , where  $s_0 = 0$  and  $s_{i-1} < s_i$ . Then we may assume by induction process that the following order is a shelling order for the simplicial complex with the set of facets

$$\begin{aligned} (F_1, 1)^c, (F_1, 2_1)^c, \dots, (F_1, 2_{n-2})^c, \dots, (F_j, 1)^c, (F_j, 2_1)^c, \dots, (F_j, 2_{n-2})^c, \\ (F_{j+1}, 1)^c, (F_{j+1}, 2_1)^c, \dots, (F_{j+1}, 2_{n-2})^c, \dots, \\ (F_{s_{h-1}}, 1)^c, (F_{s_{h-1}}, 2_1)^c, \dots, (F_{s_{h-1}}, 2_{n-2})^c, \end{aligned}$$

where  $1 < j < s_{h-1}$ .

Now, let  $1 < h \leq n$ . If there exists  $F^c \in \bigcup_{i=1}^{h-1} A_i$  such that  $h \in F^c$ , then we take an arbitrary element  $G$  of  $A_h$  and set  $F_{s_{h-1}+1}^c := G$ . In this case, we have

$$\begin{aligned} n &= \dim(\langle (F, 1)^c \rangle \cap \langle (F_{s_{h-1}+1}, 1)^c \rangle) \\ &\leq \dim(\langle (F_1, 1)^c, (F_1, 2_1)^c, \dots, (F_{s_{h-1}}, 2_{n-2})^c \rangle \cap \langle (F_{s_{h-1}+1}, 1)^c \rangle) \\ &\leq \dim\langle (F_{s_{h-1}+1}, 1)^c \rangle - 1 = n. \end{aligned}$$

Otherwise, for any  $F^c \in \bigcup_{i=1}^{h-1} A_i$ ,  $h \notin F^c$ . Hence  $\{1, h\} \notin \mathcal{F}(\Delta)$ . Since  $\text{diam}(\Delta) \leq 2$ , it follows that there exists  $m \in [n]$  such that  $m \neq 1$ ,  $m \neq h$  and  $\{m, h\} \in A_h$ , and  $F^c := \{1, m\} \in A_1$ . In this case we set  $F_{s_{h-1}+1}^c := \{m, h\}$ .

Now, the following inequalities hold:

$$\begin{aligned} n &= \dim(\langle (F, 1)^c \rangle \cap \langle (F_{s_{h-1}+1}, 1)^c \rangle) \\ &\leq \dim(\langle (F_1, 1)^c, (F_1, 2_1)^c, \dots, (F_{s_{h-1}}, 2_{n-2})^c \rangle \cap \langle (F_{s_{h-1}+1}, 1)^c \rangle) \\ &\leq \dim\langle (F_{s_{h-1}+1}, 1)^c \rangle - 1 = n. \end{aligned}$$

We order all the other facets of  $\Gamma$  which correspond to  $A_h$  as

$$(F_{s_{h-1}+1}, 2_1)^c, \dots, (F_{s_{h-1}+1}, 2_{n-2})^c, \dots, (F_{s_h}, 1)^c, (F_{s_h}, 2_1)^c, \dots, (F_{s_h}, 2_{n-2})^c,$$

where  $s_{h-1} < s_h$ .

In the same way as previously, we can easily check that the given order is a shelling order.  $\square$

A 1-dimensional simplicial complex  $\Delta$  on the vertex set  $[n]$  is called a cycle of length  $n$  if the facets of  $\Delta$  are  $\{1, n\}$  and  $\{i, i + 1\}$  for all  $i = 1, \dots, n - 1$ .

**Corollary 3.2.** *Let  $\Delta$  be a pure simplicial complex on  $[n]$  with  $\dim \Delta = 1$  and  $I = I_\Delta \subset S$ . Then the following conditions are equivalent:*

- (a)  $S/I^2$  is clean.
- (b)  $S/I^2$  is Cohen-Macaulay.
- (c)  $\Delta$  is a path of length 1, 2 or a cycle of length 3, 4, 5.

*Proof.* (a)  $\Rightarrow$  (b) Since  $S/I^2$  is sequentially Cohen-Macaulay and  $I^2$  is unmixed, the desired conclusion follows from Lemma 2.2.

(b)  $\Rightarrow$  (c) If  $n = 2$ , then  $\Delta$  is a path of length 1. If  $n = 3$ , then  $\Delta$  is either a path of length 2 or a triangle (a cycle of length 3). Finally, if  $n \geq 4$ , then by [12], Corollary 3.4,  $\Delta$  is a cycle of length 4 or 5.

(c)  $\Rightarrow$  (a) It is easy to see that in each case, we have  $\text{diam } \Delta \leq 2$  and  $I^{(2)} = I^2$ . Hence the desired conclusion follows by Theorem 3.1.  $\square$

It is known that if  $I$  is a monomial ideal and  $S/I$  is clean, then  $S/I$  is sequentially Cohen-Macaulay. In particular when  $I$  is unmixed, then  $S/I$  is Cohen-Macaulay. But the converse is not true in general. In some special cases, like edge ideals of unmixed bipartite graphs, it is known that Cohen-Macaulayness and cleanness are equivalent. As another corollary of our results we get the following:

**Corollary 3.3.** *Let  $m > 1$  be an integer,  $\Delta$  a pure simplicial complex with  $\dim \Delta = 1$ , and  $I = I_\Delta \subset S$ . Then  $S/I^{(m)}$  ( $S/I^m$ ) is clean if and only if  $S/I^{(m)}$  ( $S/I^m$ , respectively) is Cohen-Macaulay.*

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